



CAS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Norihiro IWAI

Serial No.: 09/888,930

Filed: JUNE 25, 2001

BATCH NO.: N90

FOR: SEMICONDUCTOR OPTICAL WAVEGUIDE STRUCTURE

EXAMINER: FOURSON III, G.

GROUP ART: 2823

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

Please note the change in the attorney's name and address listed below. Enclosed please find the formal drawings on the above identified application.

Any fee due with this paper, not fully covered by an enclosed check, may be charged to Deposit Acct. No. 50-1290.

**ANY FEE DUE WITH THIS PAPER, NOT FULLY  
COVERED BY AN ENCLOSED CHECK, MAY BE  
CHARGED ON DEPOSIT ACCOUNT NO. 501290**

Respectfully submitted,

[X] LINDA S. CHAN  
Reg. No. 42,400

ROSENMAN & COLIN, LLP  
575 MADISON AVENUE, FLOOR 15  
New York, New York 10022-2585  
Telephone: (212) 940-8800  
Date: FEBRUARY 14, 2002  
Docket No.: 10093316751(SOH 14.569A)  
Ach:fdraw

Filed by Express Mail  
(Receipt No. EL818389296 US)  
on Feb. 14, 2002  
pursuant to 37 CFR 1.10  
by



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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Norihiro Iwai  
Serial No. : 09/888,930  
Filed : 06/25/20001  
For : SEMICONDUCTOR OPTICAL WAVEGUIDE  
STRUCTURE  
Group Art Unit : 2823  
Examiner : G. FOURSON, III  
Batch No. : N90

Box DAC  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**PETITION TO ACCEPT DELAYED PAYMENT OF ISSUE FEE  
PURSUANT TO 37 C.F.R. 1.137(b)**

Dear Sir:

Petitioner hereby petitions the Commissioner to accept payment of the issue fee later than three months after the mailing of the Notice of Allowance as though no abandonment had ever occurred. Petitioner hereby submits that the entire delay was unintentional.

The above-identified application was abandoned on January 23, 2002 by failure to make timely payment of the issue fee. Applicant has not yet received a Notice of Abandonment for this application.

The Publication Fee for the current application is due today, February 14, 2002.

Any fee due with this paper may be  
charged on Deposit Account No. 50-1290

Filed by Express Mail

(Receipt No. 878889206 us)

on February 14, 2002

pursuant to 37 C.F.R. 1.137(b)

by

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G. VALENTI

Thus, Applicant hereby authorizes the Commissioner to charge the publication fee to Deposit Account No. 50-1290.

The Commissioner is hereby authorized to charge the issue fee (set forth in 37 C.F.R. § 1.18) for other than a small entity and the petition fee required by § 1.137(b)(2) as set forth in § 1.17(m) to Deposit Account No. 50-1290. Any other fees due with this petition may also be charged on Deposit Account 50-1290.

Petitioner is enclosing herewith the issue fee transmittal sheet and formal drawings. Since this utility application was filed after June 8, 1995, no terminal disclaimer is required.

Petitioner is also enclosing herewith a request for change in correspondence address for the current application.

Please direct any inquiries regarding this petition to the Applicant's undersigned attorney who may be reached directly by telephone at (212)940-8712.

Respectfully submitted,



Linda S. Chan  
Attorney for Petitioner/Applicant

Rosenman & Colin LLP  
575 Madison Avenue  
New York, NY 10022-2585  
Telephone: (212)940-8800  
Facsimile: (212)940-8776  
Attorney Docket No.: SOH 14.569A

## PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to:

Box ISSUE FEE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed as appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

MM92/1023

~~HELFGOTT & KARAS, P.C.~~~~EMPIRE STATE BUILDING, 60TH FLOOR~~~~NEW YORK NY 10118~~

Rosenman & Colin LLC  
575 Madison Avenue, 15th Floor  
New York, New York 10022-2585

Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

## Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/888,930	06/25/01	003	FOURSON III, G	2823 10/23/01
First Named Applicant	KASUKAWA. 35 USC 154(b) term ext. = 0 Days.			

TITLE OF INVENTION SEMICONDUCTOR OPTICAL WAVEGUIDE STRUCTURE

02/22/2002 NBERHE1 00000061 501290 09888930

01 FC:142 1280.00 CH  
02 FC:561 30.00 CH

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
3	SOH 14.569A	438-045.000	N90 UTILITY	NO	\$1280.00	01/23/02

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Rosenman & Colin LLP  
575 Madison Avenue  
2. 15th Floor  
New York,  
3. New York 10022-2585

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE The Furukawa Electric Co. Ltd.

(B) RESIDENCE: (CITY &amp; STATE OR COUNTRY) Tokyo, Japan

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☒ Corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☐ Issue Fee☐ Advance Order - # of Copies \_\_\_\_\_

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER 501290  
(ENCLOSE AN EXTRA COPY OF THIS FORM)

☒ Issue Fee☒ Advance Order - # of Copies 10

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

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on 02-14-02  
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by [Signature]

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